

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/812315 #5
	Filing Date	03/20/2001 11/13/01
	First Named Inventor	Sean E. Carolan et al.
	Group Art Unit	2181
	Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number	2000-0296

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- ☐ Petition for Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet
- ☐ Drawing(s) & Letter to Official Draftsman
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
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13 references cited in IDS

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Gary H. Monka	Reg. #	35290
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SIGNATURE	<i>[Signature]</i>	DATE	8/8/01

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PATENT APPLICATION

Inventor(s): Sean E. Carolan et al.

Docket No.: 2000-0296

Application No.: 09/812315

Filing Date: 03/20/2001

Examiner Name:

Group Art Unit: 2181

Title: Method And Apparatus For Coordinating A Change In Service Provider
Between A Client And A Server

THE COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C. F. R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. § 1.97(b)).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under § 1.53(d), more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. § 1.97(c)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(2)).

☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and to ensure consideration of the disclosed information.

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under § 1.113, a Notice of Allowance under § 1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. § 1.97(d)).

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☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 8/8/01

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Middletown, New Jersey 07748-4110

By: 

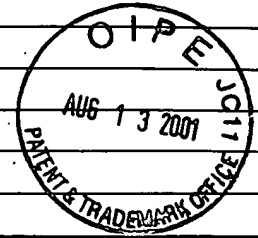
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				Application Number	09/812315
				Filing Date	03/20/2001
				First Named Inventor	Sean E. Carolan et al.
				Group Art Unit	2181
Examiner Name					
Sheet	1	of	3	Attorney Docket Number	2000-0296



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears
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	A1	6029203	A	Bhatia, et al.	02/22/00	

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		Office ³	Number (if known)	Kind Code ²				
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	B2	WO	00/05684	A	Fenster Maier, et al.	02/03/00		<input type="checkbox"/>
	B3	WO	99/33211	A	US West Inc.	07/01/99		<input type="checkbox"/>
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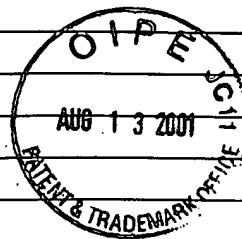
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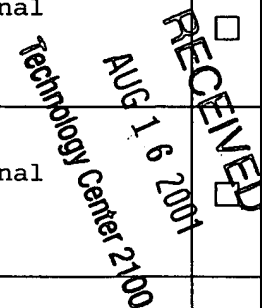
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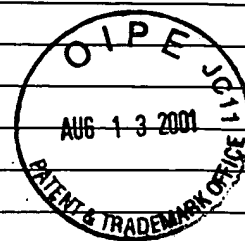
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Sheet	2	of	3	Attorney Docket Number	2000-0296



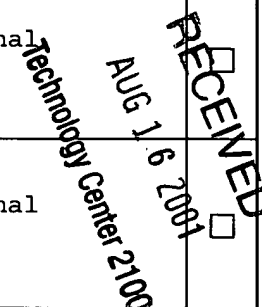
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	C1	International Search Report dated 07/19/2001 regarding International Application No. PCT/US 01/08846	<input type="checkbox"/>
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	C3	International Search Report dated 07/19/01 regarding International Application No. PCT/US 01/08841	<input type="checkbox"/>
	C4	Perkins, C. E., et al. "Using DHCP with Computers that Move", <u>Wireless Networks</u> , ACM, US. vol. 1, no. 3, October 1, 1995, pp. 341-353, and pp. 342, left-hand column, line 22 - page 344, left-hand column, line 25, document defining the general state of the art which is not considered to be of particular relevance as to claims 8, 11 and 12.	<input type="checkbox"/>
	C5	Blankers P: "Network Solution for Internet Access Services" <u>Ericsson Review</u> , S. E., Ericsson, Stockholm, No. spec. int. Iss. 1998, pp. 4-13, figures 7, 8, page 11, right-hand column, line 24-page 12, left-hand column, line 6, document defining the general state of the art which is not considered to be of particular relevance as to claims 1, 7, 8, 11 and 12.	<input type="checkbox"/>
	C6	International Search Report dated 07/19/01 regarding International Application No. PCT/US 01/08985	<input type="checkbox"/>



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		Filing Date	03/20/2001
		First Named Inventor	Sean E. Carolan et al.
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Sheet	3	of	3
		Attorney Docket Number	2000-0296



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